

10/28/99

JC571 U.S. PTO

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10/28/99

JC690 U.S. PTO  
09/429758

A

Address to:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney's Docket No. ARIB-P0110 USA

First Named Inventor Norman Adams

**UTILITY PATENT APPLICATION TRANSMITTAL**  
( under 37 CFR 1.53(b) )

SIR:

Transmitted herewith for filing is the patent application entitled:  
**Operating Resource Management System**

**CERTIFICATION UNDER 37 CFR § 1.10**

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date October 28, 1999, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL 186 211 979 US addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Salina Wright

(Name of person mailing paper)

(Signature)

Enclosed are:

1. ☒ Transmittal Form (two copies required)
2. The papers required for filing date under CFR § 1.53(b):
  - i. 59 Pages of specification (including claims and abstract);
  - ii. 8 Sheets of drawings.  
☒ formal ☐ informal
3. Declaration or oath
  - a. ☒ Newly executed (original or copy) (**UNSIGNED**)
4. ☐ Microfiche Computer Program (Appendix, see 37 CFR 1.96)
5. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - i. ☐ Computer Readable Copy
  - ii. ☐ Paper Copy (identical to computer copy)
  - iii. ☐ Pursuant to 37 C.F.R. §1.821(g), the undersigned has reviewed the paper copy and the computer readable copy of the Sequence Listing and determined the information recorded in computer readable form is identical to the written Sequence Listing.

**ACCOMPANYING APPLICATION PARTS**

6. ☐ An assignment of the invention to Ariba Technologies, Inc. is attached (including Form PTO-1595).
  - i. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
7. ☐ Power of Attorney
8. ☐ An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of ☐ references.
9. ☐ Preliminary Amendment.
10. ☒ Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
11. ☐ Other

## 12. FOREIGN PRIORITY

[X] Priority of application no. PCT/US98/08407 filed on 27 April 1998 in the Patent Cooperation Treaty is claimed under 35 USC 119.

The certified copy of the priority application:

- \_\_\_ is filed herewith; or  
 \_\_\_ has been filed in prior application no. \_\_\_ filed on \_\_\_, or  
 \_\_\_ will be provided.

\_\_\_ English Translation Document (if applicable)

## 13. FEE CALCULATION

- a. \_\_\_ Amendment changing number of claims or deleting multiple dependencies is enclosed.

## CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee (\$760.00)
Total Claims	34 - 20	* 14	x \$18.00	252.00
Independent Claims	1 - 3	* 0	x \$78.00	
___ Multiple dependent claim(s), if any			\$260.00	

\*If less than zero, enter "0".

Filing Fee Calculation . . . . . \$1,012.00

50% Filing Fee Reduction (if applicable) . . . . . \$

## 14. Small Entity Status

- a. \_\_\_ A small entity statement is enclosed.  
 b. \_\_\_ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.  
 c. \_\_\_ is no longer claimed.

## 15. Other Fees

- \_\_\_ Recording Assignment [\$40.00] . . . . . \$  
 \_\_\_ Other fees  
 Specify \_\_\_\_\_ \$

Total Fees Enclosed . . . . . \$1,012.00

## 16. Payment of Fees

- X Check(s) in the amount of \$ 1,012.00 enclosed.  
 \_\_\_ Charge Account No. 12-1420 in the amount of \$ \_\_\_\_\_.  
A duplicate of this transmittal is attached.

## 17. All correspondence regarding this application should be forwarded to the undersigned attorney:

George C. Limbach  
 Limbach & Limbach L.L.P.  
 2001 Ferry Building  
 San Francisco, CA 94111  
 Telephone: 415/433-4150  
 Facsimile: 415/433-8716

## 18. Authorization to Charge Additional Fees

- X The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. A duplicate of this transmittal is attached.

LIMBACH & LIMBACH L.L.P.

October 28, 1999  
 (Date)

Attorney Docket No. **ARIB-P0110 USA**

By: Ronald F. Yin for  
 George C. Limbach  
 Registration No. 19,305  
 Attorney(s) or Agent(s) of Record